

OFFICE OF FINANCE  
REFUND BRANCH  
Docket No.: NHL-HOL-55 US  
Serial No.: 09/942,254  
202 MAY -8 PM 2:18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
US PATENT & TRADEMARK  
OFFICE

EXAMINER: (NOT YET RECEIVED)  
ART UNIT: 3721  
SERIAL NO.: 09/942,254  
FILING DATE: August 29, 2001  
INVENTOR: Ulrich WIEDEMANN  
TITLE: BOTTLING PLANT AND METHOD OF OPERATING A  
BOTTLING PLANT AND A BOTTLING PLANT WITH  
SECTIONS FOR STABILIZING THE BOTTLED PRODUCT

Greensburg, Pennsylvania 15601

Assistant Commissioner for Patents  
Washington, D.C. 20231

April 26, 2002

ATTENTION: REFUND BRANCH

TRANSMITTAL LETTER

Sir:

Please find enclosed the following documents relating to the above-cited case:

- 1) a Petition for Refund of Fee having 2 pages;
- 2) a copy of a Monthly Statement of Deposit Account No. 12-1758, dated December 31, 2001;
- 3) a copy of the Notice to File Missing Parts of Nonprovisional Application, having 1 page;
- 4) a copy of a Response to Notice to File Missing Parts of Nonprovisional Application, having 2 pages;
- 5) a copy of a Preliminary Amendment cancelling Independent Claim 9;
- 6) a copy of the corresponding Transmittal Letter dated December 3, 2001;
- 7) a copy of the date-stamped, returned postcard, evidencing the date of filing said Preliminary Amendment; and
- 8) a stamped, self-addressed postcard, return of which is requested to acknowledge receipt of the enclosed documents.

Docket No.: NHL-HOL-55 US  
Serial No.: 09/942,254

TRANSMITTAL LETTER - Page 2

It is believed that no fee is required to file the enclosed documents.

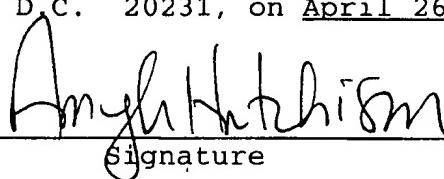
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 26, 2002.

Respectfully submitted,



Nils H. Ljungman, Esq.  
Attorney for Applicant[s]  
Reg. No. 25,997  
Name of person signing certification  
Nils H. Ljungman & Associates  
P.O. Box 130  
Greensburg, PA 15601-0130  
Telephone: (724) 836-2305  
Facsimile: (724) 836-2313

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 26, 2002.



Amy L. Hutchison  
Name of person mailing paper or fee

April 26, 2002  
Date

*AS Reg for Refund*  
5-24-02

Docket No.: NHL-HOL-55  
Serial No.: 09/942,254

THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Greensburg, Pennsylvania 15601

Assistant Commissioner for Patents  
Washington, D.C. 20231

April 26, 2002

ATTENTION: REFUND BRANCH

PETITION FOR REFUND OF FEE

Sir:

Please find enclosed herewith a photocopy of a Monthly Statement of Deposit Account No. 12-1758, dated December 31, 2001, wherein we believe that \$84.00, relating to the above-cited case, was erroneously charged to our Deposit Account under the Code 102, which corresponds to an extra independent claim fee. We believe that this charge to our Deposit Account is erroneous for the following reasons.

Independent Claim 9 was cancelled in the Preliminary Amendment which was submitted to the U.S. Patent and Trademark Office on December 3, 2001 with our Response to Notice to File Missing Parts of Nonprovisional Application, thereby reducing the number of independent claims to three.

Therefore, in view of the above facts, it is submitted that the fee of \$84.00.00 for an extra independent claim fee was

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erroneously charged to our Deposit Account relating to the above-cited case.

An immediate refund of \$84.00 into Deposit Account No. 12-1758 is requested.

Early and favorable action on this request is respectfully requested.

If mailed, I, the person signing this certification below, hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated in the certification of mailing on the transmittal letter sent herewith, or if facsimile transmitted, I, the person signing this certification below, hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office on the date indicated in the certification of facsimile transmission on the transmittal letter which is being facsimile transmitted herewith.

Respectfully submitted,



Nils H. Ljungman, Esq.  
Attorney for Applicant[s]  
Req. No. 25,997  
Name of person signing certification  
Nils H. Ljungman & Associates  
P.O. Box 130  
Greensburg, PA 15601-0130  
Telephone: (724) 836-2305  
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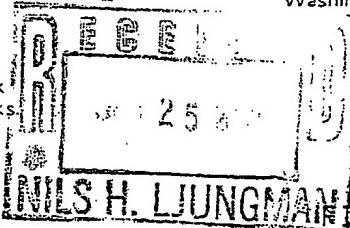


UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

6

**MONTHLY STATEMENT  
OF DEPOSIT ACCOUNT**

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.



NILS H. LJUNGMAN ESQ

P.O. BOX 130

GREENSBURG PA 15601-0130

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Account No.	121758
Date	12-31-01
Page	1

**COPY**

PLEASE SEND REMITTANCES TO:  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673

DAT NO.	STED DAY	CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS		BALANCE
12	3	01	39	09395489	NHL-FOM-05	242	640.00	2638.63
12	3	01	40	09395489	NHL-FOM-05	561	3.00	2635.63
12	12	01	217	09942254	NHL-HOSS	102	84.00	2551.63
12	18	01	3	09395489	NHL-FOM-05	561	3.00	2548.63

AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
JUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE 3278.63	TOTAL CHARGES 730.00	TOTAL CREDITS 0.00	CLOSING BALANCE 2548.63
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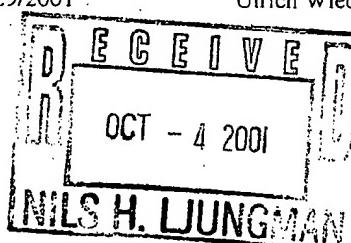
## UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/942,254	08/29/2001	Ulrich Wiedemann	NHL-HOL-55 (HOL-43-CIP-US)

NILS H. LJUNGMAN  
NILS H. LJUNGMAN & ASSOCIATES  
P.O. BOX 130  
GREENSBURG, PA 15601-0130



CONFIRMATION NO. 6208

FORMALITIES LETTER



"OC000000006822294"

Date Mailed: 10/01/2001

ENTERED  
12-1-01 ECK  
DATE  
M. parts due

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$80.
  - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 920.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Numbers, letters, and reference characters must measure at least 0.32 cm (1/8 inch) in height.

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*A copy of this notice **MUST** be returned with the reply.*

**COPY**

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

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TITLE: BOTTLING PLANT AND METHOD OF OPERATING A  
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SECTIONS FOR STABILIZING THE BOTTLED PRODUCT

Greensburg, Pennsylvania 15601

Assistant Commissioner for Patents  
Washington, D.C. 20231

December 3, 2001

BOX MISSING PARTS

TRANSMITTAL LETTER

Sir:

Please find enclosed herewith the following documents  
relating to the above-cited case:

- 1) a Response to Notice to File Missing Parts of Nonprovisional Application;
- 2) a copy of the Notice to File Missing Parts of Nonprovisional Application;
- 3) an executed Supplemental Declaration and Power of Attorney document;
- 4) a check for \$870.00 representing \$740.00 for the increased basic filing fee and \$130.00 for the large entity surcharge fee;
- 5) a certified copy of Federal Republic of Germany Patent Application No. 100 42 528.3;
- 6) 33 sheets of substitute drawings;
- 7) a Preliminary Amendment having 4 pages;
- 8) an Authorization to Withdraw from Deposit Account and a duplicate copy thereof; and
- 9) a stamped, self-addressed postcard, return of which is requested to acknowledge receipt of the enclosed documents.

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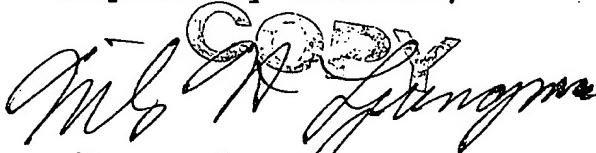
TRANSMITTAL LETTER  
Page 2

Since the actual due date for this Response to Notice to File Missing Parts of Nonprovisional Application fell on Saturday, December 1, 2001, it is submitted that the filing of this Response to Notice to File Missing Parts of Nonprovisional Application, today, Monday, December 3, 2001, is timely in accordance with MPEP §710.05.

It is believed that no further fee is required to file the enclosed document.

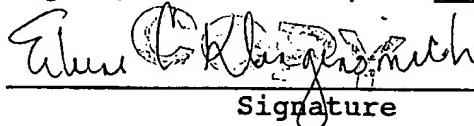
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on December 3, 2001.

Respectfully submitted,



Nils H. Ljungman, Esq.  
Attorney for the Applicant  
Reg. No. 25,997  
Nils H. Ljungman & Associates  
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\_\_\_\_\_  
Signature

Eileen C. Klingensmith  
Name of person mailing paper or fee

December 3, 2001

Date

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accordance with MPEP §710.05.

This case was filed without a Declaration because an application with a Declaration attached thereto was not received by the Attorney of Record by the Convention Date. Therefore, in order to comply with the filing requirements, a Declaration citing the above-identified application by its serial number and filing date is enclosed herewith. Priority was claimed under 35 U.S.C. §119 at the time of filing of the above-cited application.

The undersigned attorney of record hereby states that the invention recited in the Declaration enclosed herewith is identical to the invention captioned above.

As requested by the Notice to File Missing Parts of Nonprovisional Application, all required documents are enclosed herewith

If mailed, I, the person signing this certification below, hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated in the certification of mailing on the transmittal letter sent herewith, or if facsimile transmitted, I, the person signing this certification below, hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office on the date indicated in the certification of facsimile transmission on the transmittal letter which is being facsimile transmitted herewith.

Respectfully submitted,

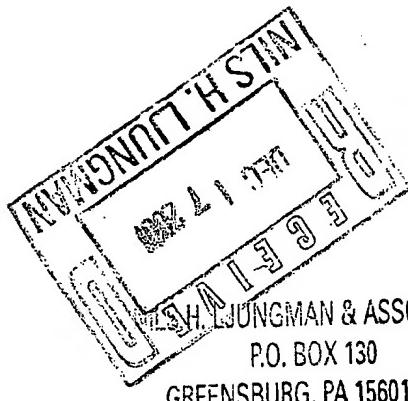


Nils H. Ljungman, Esq.  
Attorney for the Applicant  
Reg. No. 25,997  
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COPY

Please date-stamp and return this pc to ack. receipt of a Response to Notice to File Missing Parts; a copy of the Notice to File Missing Parts; an executed Supplemental Declaration; 33 sheets of substitute drawings; a check for \$870.00; a certified copy of DE 100 42 528.3; a Preliminary Amendment; an Authorization to Withdraw from Deposit Account and duplicate copy; and the corresponding transmittal letter having two pages, all relating to the above-cited case.



RECEIVED  
U.S. PATENT & TRADEMARK OFFICE  
JULY 11 2001